



# Audit Committee

## 9 March 2015

**Time** 2.00 pm      **Public Meeting?** YES      **Type of meeting** Executive  
**Venue** Committee Room 3 - Civic Centre, St Peter's Square, Wolverhampton WV1 1SH

### Membership

**Chair** Cllr Keith Inston (Lab)  
**Vice-chair** Cllr Christine Mills (Con)

Labour	Conservative	Independent Member
Cllr Harbans Bagri Cllr Philip Bateman Cllr Alan Bolshaw Cllr Dr Michael Hardacre Cllr Jasbir Jaspal	Cllr Wendy Thompson	Mr Mike Ager Mr Terry Day

Quorum for this meeting is two Councillors.

### Information for the Public

If you have any queries about this meeting, please contact the democratic support team:

**Contact** Dereck Francis  
**Tel/Email** Tel: 01902 555835 or dereck.francis@wolverhampton.gov.uk  
**Address** Democratic Support, Civic Centre, 2nd floor, St Peter's Square,  
Wolverhampton WV1 1RL

Copies of other agendas and reports are available from:

**Website** <https://wolverhamptonintranet.moderngov.co.uk/uuCoverPage.aspx?bcr=1>  
**Email** [democratic.support@wolverhampton.gov.uk](mailto:democratic.support@wolverhampton.gov.uk)  
**Tel** 01902 555043

Please take note of the protocol for filming, recording, and use of social media in meetings, copies of which are displayed in the meeting room.

Some items are discussed in private because of their confidential or commercial nature. These reports are not available to the public.

# Agenda

## Part 1 – items open to the press and public

### MEETING BUSINESS ITEMS

- | <i>Item No.</i> | <i>Title</i>  |
|-----------------|---|
| 1               | <b>Apologies for absence</b>  |
| 2               | <b>Declaration of interests</b>   |
| 3               | <b>Minutes of previous meetings</b> (Pages 5 - 14)<br>(a) <b>Audit Committee – 15 December 2014</b><br>[For approval]<br><br>(b) <b>Audit (Monitoring of Audit Investigations) Sub-Committee – 2 February 2015</b><br>[For information] |
| 4               | <b>Matters arising</b><br>[To consider any matters arising from the minutes]  |
| 5               | <b>Update on Work Programme 2014/15</b> (Pages 15 - 16)<br>[For information]  |

### EXTERNAL AUDIT REPORTS AND INSPECTION

- |   |  |
|---|--|
| 6 | <b>External Audit Plan 2014/15</b> (Pages 17 - 54)<br>[To note the plan from the Council's external auditors]  |
| 7 | <b>Annual Certification Report 2013/14</b> (Pages 55 - 66)<br>[To provide an overview of PWC's certification work and fees for the year ended 31 March 2014] |

### RISK MANAGEMENT - ASSURANCE ON CORPORATE RISKS

- |   |   |
|---|---|
| 8 | <b>Strategic Risk Register and Strategic Assurance Map</b> (Pages 67 - 90)<br>[To note the latest summary of the risk register and assurance map] |
|---|---|

### INTERNAL AUDIT REPORTS

- |    |  |
|----|--|
| 9  | <b>Internal Audit Update - Quarter Three</b> (Pages 91 - 100)<br>[To note the latest update] |
| 10 | <b>Internal Audit Plan 2015/16</b> (Pages 101 - 124)<br>[To review and approve the strategy] |

- 11 **Payment Transparency** (Pages 125 - 128)  
[To note the Council's current position with regards to the publication of all its expenditure]

**OTHER GOVERNANCE ISSUES:**

- 12 **Secondary School Balances** (Pages 129 - 138)  
[To note the position on school balances and the action taken with regard to schools with deficit budgets]
- 13 **2014/2015 Statement of Accounts Progress Update** (Pages 139 - 148)  
[To note progress on planning and delivering the Statement of Accounts for 2014/2015]
- 14 **Appointment of External Auditor** (Pages 149 - 154)  
[To note the appointment of Grant Thornton UK LLP to audit the accounts of the Council for two years from 2015/16]
- 15 **Audit Committee - Terms of Reference** (Pages 155 - 160)  
[To review the current terms of reference of the Committee and the Audit Sub Committee]
- 16 **Audit Committee - Self Assessment of Good Practice and Effectiveness**  
(Pages 161 - 166)  
[To comment on an complete the self-assessment of good practice and effectiveness exercise]
- 17 **Exclusion of the press and public**  
[To pass the following resolution:

That in accordance with Section 100A(4) of the Local Government Act 1972 the press and public be excluded from the meeting for the following items of business as they involve the likely disclosure of exempt information on the grounds shown below.]

**Part 2 - exempt items, closed to press and public**

<i>Item</i>	<i>Title</i>	<i>Grounds for Exemption</i>
18	<b>Procurement Cards - Briefing Note</b> (Pages 167 - 170) [To note the briefing note]	Any action taken or to be taken in connection with the prevention, investigation or prosecution of crime. Para (7)